#### § 1016.40

permittee may destroy all such Restricted Data. In either case, the facility must submit a certification of non-possession of Restricted Data to the DOE.

(b) In any instance where security facility approval has been suspended or revoked based on a determination of the DOE that further possession of classified matter by the permittee would endanger the common defense and national security, the permittee shall, upon notice from the DOE, immediately deliver all Restricted Data to the DOE along with a certificate of nonpossession of Restricted Data.

# §1016.40 Termination of employment or change of duties.

Each permittee shall furnish promptly to DOE written notification of the termination of employment of each individual who possesses an access authorization under his Permit or whose duties are changed so that access to Restricted Data is no longer needed. Upon such notification, DOE may:

- (a) Terminate the individual's access authorization, or
- (b) Transfer the individual's access authorization to the new employer of the individual to allow continued access to Restricted Data where authorized, pursuant to DOE regulations.

# § 1016.41 Continued applicability of the regulations in this part.

The expiration, suspension, revocation, or other termination of a security clearance or access authorization or security facility approval shall not relieve any person from compliance with the regulations in this part.

# §1016.42 Reports.

Each permittee shall immediately report to the DOE office administering the permit any alleged or suspected violation of the Atomic Energy Act of 1954, as amended, Espionage Act, or other Federal statutes related to Restricted Data. Additionally, the permittee shall report any infractions, losses, compromises, or possible compromise of Restricted Data.

## § 1016.43 Inspections.

The DOE shall make such inspections and surveys of the premises, activities,

records, and procedures of any person subject to the regulations in this part as DOE deems necessary to effectuate the purposes of the Act, E.O. 12356, and DOE orders and procedures.

#### §1016.44 Violations.

An injunction or other court order may be obtained prohibiting any violation of any provision of the Act or any regulation or order issued thereunder. Any person who willfully violates, attempts to violate, or conspires to violate any provision of the Act or any regulation or order issued thereunder, including the provisions of this part, may be guilty of a crime and upon conviction may be punished by fine or imprisonment, or both, as provided by law.

# PART 1017—IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION

Sec.

1017.1 Purpose and scope.

1017.2 Applicability.

1017.3 Definitions.

1017.1 Prohibitions

1017.6 Exemptions.

1017.7 Identification of unclassified controlled nuclear information.

1017.8 Categories of unclassified controlled nuclear information.

1017.9 Adverse effect test.

1017.10 Nuclear material determinations.

1017.11 Quarterly report.

1017.12 Review and denial of documents or material.

1017.13 Retirement of documents or material

1017.14 Joint information, documents, or material.

1017.15 Markings on documents or material.1017.16 Access to unclassified controlled nuclear information.

1017.17 Physical protection requirements.

1017.18 Violations.

AUTHORITY: 42 U.S.C. 2168; 28 U.S.C. 2461 note.

Source: 50 FR 15822, Apr. 22, 1985, unless otherwise noted.

# §1017.1 Purpose and scope.

(a) This part contains regulations of the Department of Energy (DOE) implementing section 148 (42 U.S.C. 2168) of the Atomic Energy Act which prohibits the unauthorized dissemination of certain unclassified government information. This information, identified by the term "Unclassified Controlled Nuclear Information" (UCNI), is limited to information concerning atomic energy defense programs.

- (b) These regulations—
- (1) Provide for the review of information prior to its designation as UCNI;
- (2) Describe how information is determined to be UCNI;
- (3) Establish minimum physical protection standards for documents and material containing UCNI;
- (4) Specify who may have access to UCNI; and
- (5) Establish a procedure for the imposition of penalties on persons who violate section 148 of the Atomic Energy Act or any regulation or order of the Secretary issued under section 148 of the Atomic Energy Act, including these regulations.

### §1017.2 Applicability.

These regulations apply to-

- (a) Any person authorized access to UCNI;
- (b) Any person not authorized access to UCNI who acquires, attempts to acquire, or conspires to acquire, in violation of these regulations, Government information in any document or material containing an UCNI notice (refer to § 1017.15); and
- (c) Any person not authorized access to UCNI but who wants to be authorized access to UCNI.

# § 1017.3 Definitions.

As used in this part—

- (a) *Atomic Energy Act* means the Atomic Energy Act of 1954, as amended (42 U.S.C. 2011 *et seq.*).
- (b) Atomic Energy Defense Programs means activities, equipment, and facilities of the DOE or other Government agencies utilized or engaged in support of the—
- (1) Development, production, testing, sampling, maintenance, repair, modification, assembly, utilization, transportation, or retirement of nuclear weapons or components of nuclear weapons;

- (2) Production, utilization, or transportation of nuclear material for military applications; or
- (3) Safeguarding of activities, equipment, or facilities which support the functions in paragraphs (b)(1) and (b)(2) of this section, including the protection of nuclear weapons, components of nuclear weapons, or nuclear material for military applications at a fixed facility or in transit.
- (c) Authorized Individual means a person who has been granted routine access to UCNI under §1017.16(a).
- (d) *Component* means any operational, experimental, or research-related part, subsection, design, or material used in the manufacture or utilization of a nuclear weapon, nuclear explosive device, or nuclear weapon test assembly.
- (e) Controlling Official means an individual authorized under §1017.7(a) to make a determination that specific Government information is, is not, or is no longer UCNI, such determination serving as the basis for determinations by a Reviewing Official that a document or material contains, does not contain, or no longer contains UCNI.
- (f) Denying Official means an individual authorized under §1017.12(b) to deny a request made under statute or Executive order for all or any portion of a document or material containing UCNI.
- (g) *Document or Material* means the physical medium on or in which information is recorded, or a product or substance which contains or reveals information, regardless of its physical form or characteristics.
- (h) Formerly Restricted Data means a category of information classified under section 142 d. of the Atomic Energy Act.
- (i) Government means the Executive Branch of the United States Government.
- (j) Government Information means any fact or concept, regardless of its physical form or characteristics, that is owned by, produced by or for, or otherwise controlled by the United States Government.
- (k) In Transit means the physical movement of a nuclear weapon, a component of a nuclear weapon, or nuclear material from one part to another part